

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

1776/00053

U.S. APPLICATION NO. If known, see 35 CFR 1.53

09/873503

INTERNATIONAL APPLICATION NO.

PCT/JP99/02049 ✓

INTERNATIONAL FILING DATE

April 19, 1999 ✓

PRIORITY DATE CLAIMED

April 17, 1998 ✓

## TITLE OF INVENTION

DATA COMPRESSING DEVICE AND METHOD, DATA EXPANDING DEVICE AND METHOD, DATA  
COMPRESSING/EXPANDING SYSTEM AND METHOD, CODE BOOK MAKING METHOD, AND VECOTR QUANTIZING  
DEVICE AND METHOD

## APPLICANT(S) FOR DO/EO/US

Ohmi, Tadahiro, Kotani, Koji, Nakada, Akira, Imai, Makoto, Konda, Masahiro, Morimoto, Tatsuo, Yonezawa, Takemi,  
Nozawa, Toshiyuki Masanori Fujibayashi and Takahisa Nitta ✓

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. § 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter
16. ☐ Other items or information:



13 Rec'd PCT/PTO 10 JAN 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

09/673503

In re Application of:

Tadahiro Ohmi et al.



Serial No.: 09/673,503

Art Unit: To be assigned

Filed: October 17, 2000

Examiner: To be assigned

For: DATA COMPRESSING DEVICE  
AND METHOD, DATA  
EXPANDING DEVICE AND  
METHOD, DATA  
COMPRESSING/EXPANDING  
SYSTEM AND METHOD, CODE  
BOOK MAKING METHOD, AND  
VECOTR QUANTIZING DEVICE  
AND METHOD

Atty Docket: 1776/00053

REQUEST FOR SMALL ENTITY STATUS

Commissioner for Patents  
Washington, D.C. 20231

Sir:

The Applicant hereby requests small entity status.

Respectfully submitted,

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Date: January 9, 2001

002FOT-005E2960